

4-27-05

RCE  
2135  
+ 2135

PTO/SB/30 (10/01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**REQUEST  
FOR  
CONTINUED EXAMINATION (RCE)  
TRANSMITTAL**

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provided for continued examination of a utility or plant application filed on or after June 8, 1995.

See The American Inventors Protection Act of 1999 (AIPA).

Application Number	09/808,492
Filing Date	March 14, 2001
First Named Inventor	Shigeho Ogawa
Group Art Unit	2135
Examiner Name	Son, Linh L.D.
Attorney Docket Number	450100-03064

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. Submission required under CFR 1.114.

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 CFR 1.116 previously filed on  
(Any unentered amendment(s) referred to above will be entered)
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- iii. ☐ Other \_\_\_\_\_
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other \_\_\_\_\_

04/28/2005 SHASSEN1 00000053 09808492

01 FC:1801

790.00 OP

2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(I) required)
- b. ☐ Other \_\_\_\_\_

3. Fees

The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

- a. ☒ The Director is hereby authorized to charge any additional fees, or credit any overpayments, to  
**Deposit Account No. 50-0320.**
- b. ☒ Check in the amounts of \$790.00 are enclosed for the following:
- i. ☒ RCE fee required under 37 CFR 1.17(e)
- ii. ☐ Petition for extension of time fee (37 CFR 1.136 and 1.17)
- iii. ☐ Other \_\_\_\_\_
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Name (Print/Type)	Thomas E. Presson	Registration No. (Attorney/Agent)	41,442
Signature	<i>Thomas E. Presson</i>	Date	April 25, 2005

**CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as express mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Name (Print/Type)	Barnet Shindler
Signature	<i>Barnet Shindler</i>
Date	April 25, 2005

"Express Mail" mailing label number: EV 196816572 US



PATENT  
450100-03064

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Shigeho Ogawa, et al.  
Serial No. : 09/808,492  
Filed : March 14, 2001  
For : INFORMATION PLAYBACK APPARATUS, METHOD AND  
RECORDING MEDIUM (AS AMENDED)  
Examiner : Son, Linh L.D.  
Art Unit : 2135

745 Fifth Avenue  
New York, NY 10151  
Tel: 212-588-0800

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith is an amendment in the above-identified application.

- ☒ No additional fee is required.  
☐ The fee has been calculated as shown below.  
☐ This is an application of a small entity under 37 CFR 1.9(f), and the amounts shown in parentheses apply.

**Claims as Amended**

(1)	(2) Claims remaining after amendment	(3)	(4) Highest number previously paid for	(5) Present extra	(6) Rate	(7) Additional Fee
Total claims	17	Minus	** =20	* 0 x	\$50 (25)	= \$ 0
Independent claims	6	Minus	*** =6	* 0 x	\$200 (100)	= \$ 0
Total additional fee for this amendment						\$ 0

- \* If the entry in Column 2 is less than the entry in Column 4, write "0" in Column 5.  
\*\* If the highest number of total claims previously paid for is less than 20, write "20" in this space.  
\*\*\* If the highest number of independent claims previously paid for is less than 3, write "3" in this space.

- ☐ This response is being filed within the \_\_\_\_\_ month following the expiration of the term originally set therefor. This is a petition to request a \_\_\_\_\_ month extension of time. A check covering the cost of the petition is enclosed.
- ☐ A check in the amount of \$ \_\_\_\_\_ is attached, which covers the cost of ☐ additional claims \_\_\_\_\_ petition for extension of time.
- ☐ Charge \$ to Deposit Account No. 50-0320.
- ☒ Please charge any additional fees incurred by reason of this response or credit any overpayment to Deposit Account No. 50-0320.

**EXPRESS MAIL**

Mailing Label Number: EV 196816572 US

Date of Deposit: April 25, 2005

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to:

Mail Stop RCE, Commissioner for Patents, P.O. Box 1450,  
Alexandria, VA 22313-1450.

Barnet Shindler  
(Typed or printed name of person mailing paper or fee)

[Signature]  
(Signature of person mailing paper or fee)

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP  
Attorneys for Applicants

By: Thomas F. Presson  
Thomas F. Presson  
Reg. No. 41,442  
Tel: 212-588-0800

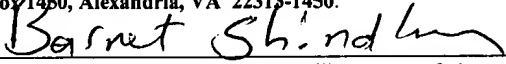
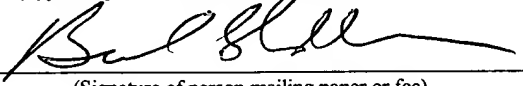


PATENT  
450100-03064

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Shigeho Ogawa, et al.  
Serial No.: 09/808,492  
Filed: March 14, 2001  
For: INFORMATION PLAYBACK APPARATUS, METHOD  
AND RECORDING MEDIUM (AS AMENDED)  
Art Unit: 2135  
Examiner: Son, Linh L.D.  
Confirmation No.: 3619

745 Fifth Avenue  
New York, NY 10151

<b><u>EXPRESS MAIL</u></b>	
Mailing Label Number:	<u>EV 196816572 US</u>
Date of Deposit:	<u>April 25, 2005</u>
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to: <b>Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.</b>	
	
(Typed or printed name of person mailing paper or fee)	
	
(Signature of person mailing paper or fee)	

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT TO ACCOMPANY**  
**REQUEST FOR CONTINUED EXAMINATION**

Dear Sir:

In response to the Advisory Action mailed on April 4, 2005 and the Final Office Action of January 25, 2005, having a three-month statutory period for response set to expire on April 25, 2005, please amend the application as follows.

**Listing of the Claims** begin on page 3 of this paper.

**Remarks/Arguments** begin on page 12 of this paper.